

## Scope of Service

### Consumer Education & Training

This Scope of Service defines requirements for this service type for the  
iCare Family Care (branded “Inclusa”) and Family Care Partnership programs

Family Care Partnership: Attachment to Description of Long-Term Care Provider Services and Payment  
Family Care Only (If applicable): Appendix N to Subcontract Agreement

**Purpose:** This document defines requirements and expectations for the provision of subcontracted, authorized and rendered services. The services shall be provided in compliance with service expectations in the Agreement and Wisconsin licensing and certification standards, as applicable. Provisions of this Scope of Service supersede any other agreements, including agreements between the Enrollee and Provider, such as intake agreements. All references to Enrollee include the Enrollee and as applicable any of the Enrollee’s authorized representatives.

1.0	Definitions
1.1	<p><b>Service Definition</b></p> <p>Consumer Education and Training Services are designed to help members develop self-advocacy skills, support self-determination, exercise civil rights and acquire skills needed to exercise control and responsibility over support services. Self-advocacy skills enable members to communicate wants and needs, make informed decisions, voice their choices, and develop trusted supports with whomever they can share concerns.</p> <p>The consumer education and training service includes education and training for members, their caregivers, and legal decision makers that is directly related to developing self-advocacy skills. Managed care organizations assure that information about educational and/or training opportunities is available to members, their caregivers, and legal decision makers.</p> <p>Covered expenses may include enrollment fees, books and other educational materials, and transportation related to participation in training courses, conferences, and other similar events. Excludes educationally related services available under Individuals with Disabilities Education Act (IDEA) (20 U.S.C.1401 et seq) or other relevant funding sources. Excludes education/training costs exceeding \$3000 per member annually. Excludes payment for hotel and meal expenses.</p> <p>Providers must have expertise in problem solving, self-advocacy skills development, self-determination, community integration, financial management and decision-making.</p>
2.0	Service Description/ Requirements
2.1	<p>Provider will have program services and policies that reflect a commitment to helping enrollees develop basic and functional literacy skills required of adult life to enhance their individual performance and contribution to the community.</p>
2.2	<p>The program will have a planning process that is ongoing and participatory, guided by evaluation, and based on a written plan that considers community demographics, needs, resources and economic or technological trends, and local labor market conditions.</p>

2.3	<p>Certified peer specialists provide Peer Recovery Support Services under Consumer Education and Training. A peer recovery support specialist serves as advocate and provides information and peer support for members in emergency, outpatient, or community settings. Peer specialists provide education, training, and support services such as the following:</p> <ul style="list-style-type: none"> <li>• Use personal recovery experience as a tool</li> <li>• Provide information about mental health resources</li> <li>• Assist in identifying and supporting members in crisis</li> <li>• Facilitate self-direction and goal setting</li> <li>• Communicate effectively with other treatment providers</li> </ul>
2.4	<p>Certified peer specialists’ practice in accordance with the Department of Health Services Peer Specialist Code of Conduct Standards and the General Wisconsin Certified Peer Specialist Job Description, including practicing as part of an agency team under supervision. The certified peer specialists perform a wide range of recovery-oriented tasks as outlined in the member's recovery plan which are within the scope and practice of certified peer specialists. Services provided to the member have been developed and identified by the member with the facilitation of the certified peer specialist in order to achieve the member's self-directed recovery goals.</p>
2.5	<p>Peer specialist services are intended to assist the member in learning skills to independently manage mental health symptoms. Therefore, it is expected that the service would phase out over time. Specific peer specialist services such as Wellness Recovery Action Planning (WRAP) may be short-term in nature. Other peer specialist services may occur ongoing or intermittently as needed by the member.</p> <p>The IDT will consider member-specific needs and member’s progression toward independently managing mental health needs when authorizing services.</p>
2.6	<p>Peer Recovery Support Specialists provide service to members with mental health disorders. Peer Recovery Support Specialists are described as follows:</p> <ul style="list-style-type: none"> <li>• <u>Outreach Worker</u> – identifies and engages hard-to-reach individuals; offers proof of transformative power of recovery; make recovery attractive</li> <li>• <u>Motivator</u> – exhibits faith in capacity for change; encourages and celebrates recovery achievements; mobilizes internal and external resources; encourages self-advocacy and economic self-sufficiency</li> <li>• <u>Ally and Confidant</u> – genuinely cares and listens, can be trusted with confidences in matters not affecting safety</li> <li>• <u>Role model and Mentor</u> – offers his/her life as living proof of the transformative power of recovery; provides stage-appropriate recovery education</li> <li>• <u>Partner in Problem Solving</u> – helps resolve personal and environmental obstacles to recovery</li> <li>• <u>Advocate</u> – helps individuals and families navigate complex service systems</li> <li>• <u>Educator</u> – provides each client with normative information about stages of recovery; informs professional helpers, the community, and potential service consumers about the prevalence, pathways, and styles of long-term recovery</li> </ul> <p>Peer Recovery Support Specialist is NOT a:</p> <ul style="list-style-type: none"> <li>• Sponsor (or equivalent)</li> <li>• Therapist/counselor</li> </ul>

	<ul style="list-style-type: none"> <li>• Nurse/Physician</li> <li>• Priest/Clergy</li> <li>• Home Care Worker</li> </ul>																				
2.7	<p>Peer Recovery Support Specialist services shall include, but are not limited to:</p> <ul style="list-style-type: none"> <li>• Develop Wellness Recovery Action Plan (WRAP) collaboratively with member</li> <li>• Visit member on a regular basis</li> <li>• Connection to resources/benefits for basic needs such as housing, transportation, food, drop-in support centers, PIE, recovery group in the community, Economic Support, ADRC, etc.</li> <li>• Assist in identifying and supporting members in crisis</li> <li>• Coping skills</li> <li>• Mental health education</li> <li>• Wellness management recovery</li> <li>• Active participant in member’s treatment and recovery plan</li> <li>• Improve/develop natural and community support network</li> <li>• Assist member with personalized recovery experience or planning</li> <li>• Facilitate member empowerment</li> </ul>																				
2.8	<p>Peer Recovery Support Specialists will NOT be requested or assigned to do the following:</p> <ul style="list-style-type: none"> <li>• Cleaning or chore services</li> <li>• Personal cares</li> <li>• Preparing meals</li> <li>• Errands</li> <li>• Laundry</li> <li>• Transporting members for general transportation outside of targeted appointments relating to recovery plan</li> <li>• Medication delivery</li> <li>• Grocery shopping for member</li> </ul>																				
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3.1	<p>Provider must bill using appropriate procedure codes and modifiers.</p> <table border="1"> <thead> <tr> <th>Service Code</th> <th>Modifier</th> <th>Service Description</th> <th>Unit of Service</th> </tr> </thead> <tbody> <tr> <td>S9445</td> <td></td> <td>Patient Education, Individual</td> <td>Per session</td> </tr> <tr> <td>S9446</td> <td></td> <td>Patient Education, Group</td> <td>Per session</td> </tr> <tr> <td>H0038</td> <td></td> <td>Mental Health Peer Specialist Services</td> <td>Per 15 minutes</td> </tr> <tr> <td>H0038</td> <td>U1</td> <td>Mental Health Peer Specialist Services, drop-in service</td> <td>Per 15 minutes</td> </tr> </tbody> </table>	Service Code	Modifier	Service Description	Unit of Service	S9445		Patient Education, Individual	Per session	S9446		Patient Education, Group	Per session	H0038		Mental Health Peer Specialist Services	Per 15 minutes	H0038	U1	Mental Health Peer Specialist Services, drop-in service	Per 15 minutes
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<b>4.0</b>	<b>Documentation of Service</b>																				
4.1	<p>Provider must respond to the IDT within two (2) business days to accept or decline a referral. Provider must work with IDT to ensure services begin on the planned date and time. If the planned start date is delayed, Provider shall immediately notify the IDT to ensure the needs of the Enrollee are met.</p>																				

4.2	IDT must prior authorize all services prior to being rendered by Provider. Notification of authorization to Provider shall include expected start date, duration of authorization, units authorized and any expected outcomes, if applicable.
4.3	The Provider must retain copies of the authorization notification.
4.4	The IDT shall issue a new authorization notification to Provider when the tasks assigned, amount, frequency, or duration of the service changes.
4.5	<p>The Provider must retain the following documentation and make available for review by iCare upon request:</p> <ul style="list-style-type: none"> <li>• Proof that Provider meets the required standards for applicable staff qualification, training, and programming.</li> <li>• Policy and procedure for verification of criminal, caregiver and licensing background checks as required.</li> <li>• Evidence of completed criminal, caregiver and licensing background checks as required.</li> <li>• Policy and procedure related to supervision methods by the provider agency including frequency, intensity, and any changes in supervision.</li> <li>• Policy and procedure for responding to complaints, inappropriate practices or matters qualifying as Enrollee-related incidents. The policy and procedure should also cover expectation of work rules, work ethics and reporting variances to the program supervisor.</li> <li>• Employee time sheets/visit records which support billing to MCO.</li> </ul>
4.6	<p>Information regarding authorization and claims processes are available at:  <b>Family Care:</b> Providers/Claims and Billing at <a href="http://www.inclusa.org">www.inclusa.org</a>  <b>Family Care Partnership:</b> Provider/Claims section and Provider/Prior Authorization section at <a href="http://www.icarehealthplan.org">www.icarehealthplan.org</a></p>
<b>5.0</b>	<b>Staff Qualifications and Training</b>
5.1	<b>Caregiver Background Checks</b> – Caregiver and Criminal Background checks must be completed in compliance with Wisconsin DHS Admin. Code Chapter 12 and 13. Provider must maintain and make available for review documentation that caregiver and criminal background checks have been completed timely for all staff.
5.2	Provider must comply with all training requirements as outlined in their licensing/certification standards. If training standards are not specified, Provider must ensure that staff are fully trained to complete the assigned tasks.
5.3	<p>Provider must orient and train their staff on the Family Care and Family Care Partnership Programs. Support materials can be found at:  <b>Family Care:</b> <a href="http://www.inclusa.org">www.inclusa.org</a>  <b>Family Care Partnership:</b> <a href="http://www.icarehealthplan.org">www.icarehealthplan.org</a></p>
5.4	Staff must be trained in recognizing abuse and neglect and reporting requirements.
5.5	Services provided by anyone under the age of 18 shall comply with Child Labor Laws.

5.6	<p>The Provider must ensure that staff have received training on the following subjects pertaining to the individuals served:</p> <ul style="list-style-type: none"> <li>• Policy, procedures, and expectations may include the following: <ul style="list-style-type: none"> <li>○ Enrollee rights and responsibilities</li> <li>○ Provider rights and responsibilities</li> <li>○ Record keeping and reporting</li> <li>○ Arranging backup services if the caregiver is unable to make a scheduled visit</li> <li>○ Other information deemed necessary and appropriate</li> </ul> </li> <li>• Information about individuals to be served including information on individual’s specific disabilities, abilities, needs, functional deficits, strengths, and preferences. This training should be person specific for the people to be served and generally focused.</li> <li>• Recognizing and appropriately responding to all conditions that might adversely affect the Enrollee’s health and safety including how to respond to emergencies and Enrollee-related incidents.</li> <li>• Interpersonal and communication skills and appropriate attitudes for working effectively with Enrollees and with IDT.</li> <li>• Confidentiality laws and rules</li> <li>• Practices that honor diverse cultural and ethnic differences</li> <li>• Procedures for following Family Care and Family Care Partnership required processes for handling complaints and grievances (see Section 7.3).</li> </ul>
<b>6.0</b>	<b>Supervision and Staff Adequacy</b>
6.1	<p>The Provider shall maintain adequate staffing to meet the needs of Enrollees referred by MCO and accepted by the Provider for service.</p>
6.2	<p>Provider must ensure:</p> <ul style="list-style-type: none"> <li>• Staff are supervised and assessed to assure they are working effectively and collaboratively with Enrollees by conducting adequate on-site supervision and review.</li> <li>• Performance issues with staff are addressed promptly and IDT is kept informed about significant issues that affect the Enrollee.</li> <li>• Supervisory staff are involved in assessment, goal planning and tracking, and supervision for Enrollees.</li> <li>• Provider staff are working collaboratively and communicating effectively with MCO staff</li> </ul>
<b>7.0</b>	<b>Communication and Reporting Requirements</b>
7.1	<p>It is the responsibility of the Provider to ensure the MCO has the most accurate and updated contact information to facilitate accurate and timely communication.</p>
7.2	<p>The Provider shall report to the IDT whenever:</p> <ul style="list-style-type: none"> <li>• There is a change in service provider</li> <li>• There is a change in the Enrollee’s needs or abilities The Enrollee or provider is not available for scheduled services (within 24 hours unless an alternate date is scheduled between provider and Enrollee)</li> </ul>

7.3	Provider shall notify IDT of formal complaints or grievances received from Enrollees within 48 hours of receipt. Written notification of completed complaint investigations must be submitted to the IDT.
7.4	Provider must notify the Enrollee and IDT when the contracted service is unable to be rendered such as closing for inclement weather or widespread illness outbreak.
7.5	The IDT must be notified in a timely manner if the Provider, through its experience in providing services to the Enrollee, believes that the Enrollee’s needs have changed, and a modification of the service level is indicated. <b>iCare will not pay for services that have not been authorized.</b>
7.6	Provider shall follow up with the Enrollee or IDT to determine the reason for an unplanned Enrollee absence.
7.7	<p><b>Member Incidents</b>  Provider must communicate and report all incidents involving an iCare Enrollee to the IDT– the Care Coach or the Field Care Manager Nurse within <b>24 hours</b> via phone, fax, or email. If the reporter is unable to reach someone from the care team, they may leave a message reporting details of an incident that has been resolved and did not result in serious harm or injury to the Enrollee.</p> <p>If the incident is not yet resolved or resulted in serious harm or injury to the Enrollee, the provider must attempt to contact the IDT via phone.</p> <p><b>Family Care:</b> If unable to contact IDT, call 1-877-622-6700 and ask to speak to a Care Management Support Manager to immediately make a report. If a manager is unavailable, the provider will speak with the receptionist to be redirected or leave a message.</p> <p><b>Family Care Partnership:</b> If unable to contact IDT, call 1-800-777-4376 and ask to speak to a Care Management Support Manager to immediately make a report. If a manager is unavailable, the provider will speak with the receptionist and ask to be redirected or leave a message.</p> <p>All reported incidents will be entered into the MCO Incident Management System and reported to DHS in accordance with MCO contract requirements. Providers may be asked to provide any additional information or details necessary to complete the investigation of reported incidents. The provider will inform the MCO when notifying their regulatory authority of incidents. A copy of the report may be submitted as a form of notification.</p> <p>Incident reporting resources and training are available at:  <b>Family Care:</b> Providers section of the Includa website at <a href="http://www.includa.org">www.includa.org</a>  <b>Family Care Partnership:</b> For Providers/Education/Resources section of the iCare website at <a href="http://www.iCarehealthplan.org">www.iCarehealthplan.org</a></p>
7.8	<p>The provider agency shall give at least 30 days’ advance notice to the IDT when it is unable to provide authorized services to an individual Enrollee. The provider agency shall be responsible to provide authorized services during this time period.</p> <p>The IDT or designated staff person will notify the provider agency when services are to be discontinued. The IDT will make every effort to notify the provider at least 30 days in advance.</p>

8.0	<b>Quality Program</b>
8.1	<p><i>iCare</i> quality assurance activities are a systematic, measured approach to ensuring and recognizing a specified standard or level of care expected of subcontracted providers. These methodologies are established to review and inspect subcontracted provider performance and compliance.</p> <p>It is the responsibility of providers and provider agencies to maintain the regulatory and contractual standards as outlined in this section. <i>iCare</i> will monitor compliance with these standards to ensure the services purchased are of the highest quality.</p>
8.2	<p><b>Quality Performance Indicators</b></p> <ul style="list-style-type: none"> <li>• Legal/Regulatory Compliance- evidenced by regulatory review with no deficiencies, type of deficiency and/or effective and timely response to Statement of Deficiency</li> <li>• Education/Training of staff- Effective training of staff Enrollees in all aspects of their job, including handling emergency situations. Established procedures for appraising staff performance and for effectively modifying poor performance where it exists.</li> <li>• Performance record of contracted activities- <ul style="list-style-type: none"> <li>○ tracking of number, frequency, and outcomes of Member Incident Reports related to provider performance</li> <li>○ tracking of successful service provision (Enrollee achieving goals/outcomes, increased Enrollee independence and community participation, etc.)</li> </ul> </li> <li>• Contract Compliance- formal or informal review and identification of compliance with MCO contract terms, provider service expectation terms, applicable policies/procedures for contracted providers</li> <li>• Availability and Responsiveness- related to referrals or updates to services, reporting and communication activities with MCO staff.</li> </ul>
8.3	<p><b>Expectations of Providers and MCO for Quality Assurance Activities</b></p> <ul style="list-style-type: none"> <li>• <b>Collaboration:</b> working in a goal oriented, professional, and team-based approach with MCO representatives to identify core issues to quality concerns, strategies to improve, and implementing those strategies</li> <li>• <b>Responsiveness:</b> actions taken upon request and in a timely manner to resolve and improve identified issues. This may include submitted documents to MCO, responding to calls, emails, or other inquiries, keeping MCO designated staff informed of progress, barriers, and milestones achieved during quality improvement activities</li> <li>• <b>Systems perspective to improvement:</b> approaching a quality concern, trend, or significant incident with the purpose of creating overall improvements that will not only resolve the issue at hand, but improve service and operations as a whole</li> <li>• <b>Enrollee-centered solutions to issues:</b> relentlessly striving to implement solutions with the focus on keeping services Enrollee-centered and achieving the goals and outcomes identified for persons served</li> </ul> <p><i>iCare</i> is committed to interfacing with providers to collaboratively and proactively discuss issues identified with processes and assist with implementing improvements and reviewing the impact of the changes as a partner in the mission to serve Enrollees.</p>